SOX Audit Checklist

☐	Do we have policies that detail correct procedures for creating, changing, and maintaining any accounting or financial data system we use?
☐	Have we mapped our policies to an accepted framework such as COBIT, or to COSO and ITGI?
☐	Have we received recent SSASE-18 reports from all service organizations that support us?
☐	Can we prevent data tampering? Have we tested and verified security measures?
☐	Do we have a plan for dealing with security breaches and do our employees know it?
☐	Have we documented and informed auditors about any material changes to the company?
☐	Have we told auditors about any previous breaches and security failures?
☐	Do we monitor and create an audit trail for access to sensitive data?